

**PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q76816

Kenichi NAKATATE, et al.

Appln. No.: 10/649,657

Group Art Unit: Not yet assigned

Confirmation No.: Not yet assigned

Examiner: Not yet assigned

Filed: August 28, 2003

For: OPTICAL APPARATUS

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Unexamined Patent Application Publication No. 06-34830, published February 10, 1994.
2. Japanese Unexamined Patent Application Publication No. 02-293352, published December 4, 1990.
3. Japanese Unexamined Patent Application Publication No. 09-309742, published December 2, 1997.
4. Japanese Unexamined Patent Application Publication No. 06-166522, published June 14, 1994.

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U.S. Appln. No.: 10/649,657

5. Japanese Unexamined Patent Application Publication No. 06-166528, published June 14, 1994.
6. Japanese Unexamined Patent Application Publication No. 06-287022, published October 11, 1994.
7. Japanese Unexamined Patent Application Publication No. 03-109233, published May 9, 1991.
8. Japanese Unexamined Patent Application Publication No. 03-88743, published April 15, 1991.
9. Japanese Unexamined Patent Application Publication No. 07-61823, published March 7, 1995.
10. Japanese Unexamined Patent Application Publication No. 07-187684, published July 25, 1995.
11. Japanese Unexamined Patent Application Publication No. 08-295520, published November 12, 1996.
12. Japanese Unexamined Patent Application Publication No. 08-295521, published November 12, 1996.
13. Japanese Unexamined Patent Application Publication No. 09-2828, published January 7, 1997
14. Japanese Unexamined Patent Application Publication No. 09-124337, published May 13, 1997.

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15. Japanese Unexamined Patent Application Publication No. 11-29335, published February 2, 1999.
16. Japanese Unexamined Patent Application Publication No. 2000-95535, published April 4, 2000.
17. Japanese Unexamined Patent Application Publication No. 2000-103629, published April 11, 2000.
18. Japanese Unexamined Patent Application Publication No. 2000-191329, published July 11, 2000.
19. Japanese Unexamined Patent Application Publication No. 2000-226223, published August 15, 2000.
20. Japanese Unexamined Patent Application Publication No. 2000-275416, published October 6, 2000.
21. Japanese Unexamined Patent Application Publication No. 11-195576, published July 21, 1999.
22. Japanese Unexamined Patent Application Publication No. 2001-110698, published April 20, 2001.
23. Japanese Unexamined Patent Application Publication No. 2003-31491, published January 31, 2003.
24. Japanese Unexamined Patent Application Publication No. 2003-133198, published May 9, 2003.

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One copy of each of the listed documents is submitted herewith, together with an English language abstract thereof.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

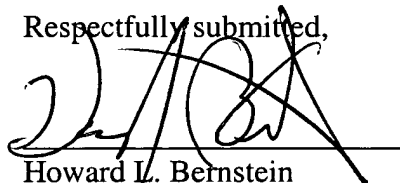
The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

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Respectfully submitted,



Howard L. Bernstein
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Date: October 10, 2003

Substitute for Form 1449 A & B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		<i>Complete if Known</i>	
		Application Number	10/649,657
		Confirmation Number	Not yet assigned
		Filing Date	August 28, 2003
		First Named Inventor	Kenichi NAKATATE
		Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
		Attorney Docket Number	Q76816

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	06-34830	A	02-10-1994		abstract
		JP	02-293352	A	12-04-1990		abstract
		JP	09-309742	A	12-02-1997		abstract
		JP	06-166522	A	06-14-1994		abstract
		JP	06-166528	A	06-14-1994		abstract
		JP	06-287022	A	10-11-1994		abstract
		JP	03-109233	A	05-09-1991		abstract
		JP	03-88743	A	04-15-1991		abstract
		JP	07-61823	A	03-07-1995		abstract
		JP	07-187684	A	07-25-1995		abstract
		JP	08-295520	A	11-12-1996		abstract
		JP	08-295521	A	11-12-1996		abstract

NON PATENT LITERATURE DOCUMENTS

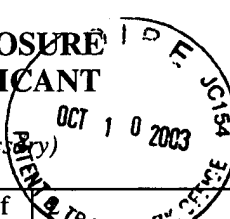
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

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		US			
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		JP	09-2828	A	01-07-1997		abstract
		JP	09-124337	A	05-13-1997		abstract
		JP	11-29335	A	02-02-1999		abstract
		JP	2000-95535	A	04-04-2000		abstract
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		JP	2000-191329	A	07-11-2000		abstract
		JP	2000-226223	A	08-15-2000		abstract
		JP	2000-275416	A	10-06-2000		abstract
		JP	11-195576	A	07-21-1999		abstract
		JP	2001-110698	A	04-20-2001		abstract
		JP	2003-31491	A	01-31-2003		abstract
		JP	2003-133198	A	05-09-2003		abstract

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